

# IN THE UNITED STATES PATENT AND TRADEMARK (FFC



ATTY DOCKET NO.: 5577-264

DATE: July 22, 2003

# UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: Transmitted herewith for filing under 37 CFR § 1.53(b) is: a patent application a Continuation a Divisional a Continuation-in-Part (CIP) Application of prior application no: \_; filed \_\_\_\_\_, the disclosure of which is incorporated herein by reference in its entirety. and claims the benefit of Provisional Application No. Inventor(s) or Application Identifier: Jeffrey S. Bardsley; Ashley A. Brock; Charles K. Davis, III; Nathaniel W. Kim; John J. McKenna; Carlos F. Villegas Entitled: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR ADMINISTRATION OF COMPUTER SECURITY THREAT COUNTERMEASURES TO A COMPUTER SYSTEM **Correspondence Address:** PATENT TRADEMARK OFFICE Enclosed are: 1. Application Transmittal Letter and Fee Transmittal Form (A duplicate is enclosed for fee processing) 2. 33 pages of Specification (including 22 claims) 3. 23 sheets of Formal Drawings (35 USC 113) 4. Oath or Declaration copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 6 Below] DELETION OF INVENTOR(S) (Signed statement deleting inventor(s) named in the prior application) Application Data Sheet. See 37 CFR 1.76

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated

Incorporation By Reference (useable if box 4b is checked)

☐ Microfiche Computer Program (Appendix)

Assignment papers (cover sheet(s) and document(s))

by reference therein.

9. Applicant claims small entity status. See 37 CFR § 1.27.					
10. Information Disclosure Statement, PTO-1449, and 33 references cited					
11. Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.)					
12. English Translation Document					
13. Certified Copy of Application No. ; Filed					
14. Sequence Listing/ Sequence Listing Diskette  a. computer readable copy					
a. ☐ computer readable copy b. ☐ paper copy					
c. statement in support					
15. An Associate Power of Attorney					
16. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
17.  Other: The fee has been calculated as shown below:					
The fee has been calcu					7
	Column 1	Column 2	Small Entity	Large Entity Rate Fee	
BASIC FEE	No. Filed	No. Extra	Rate Fee \$375.00	Rate Fee \$750.00	1
			<u> </u>		
TOTAL CLAIMS	<b>22</b> - 20 =	2	$\mathbf{x} \ 09 = \$$	x 18 = \$36.00	
INDEP CLAIMS	3 - 3 =	0	x 42 = \$	x 84 = \$	
MULTIPLE Depen	dent Claims Prese	+ 140 = \$	+ 280 = \$		
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$	Total \$786.00	
A check in the amount of \$ to cover the filing fee is enclosed.					
A check in the amount of \$\\$ is enclosed to cover the filing fee, PLUS the Assignment					
Recordation fee (\$40.00).					
Please charge IBM Deposit Account No. 50-0629 in the amount of \$786.00.					
The Commissioner is hereby authorized to credit overpayments or charge the following fees					
associated with this communication to IBM Deposit Account No. 50-0629:					
a. Additional filing fees under 37 CFR 1.16 for presentation of extra claims.					
b. Additional patent application processing fees under 37 CFR 1.17					
Respectfully submitted, \ / \ /					
and the second of the second o					
Munue					
Mitchell S. Bigel					

Correspondence Address:



PATENT TRADEMARK OFFICE

### CERTIFICATE OF EXPRESS MAILING

Registration No. 29,614

Express Mail Label No. EV 318421214 US

Date of Deposit: July 22, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, D.O. Box 1450, Alexandria, VA 22313-1450

Susan E. Freedman

Date of Signature: July 22, 2003

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Jeffrey S. Bardsley; Ashley A. Brock; Charles K. Davis, III;

Nathaniel W. Kim; John J. McKenna; Carlos F. Villegas

Serial No.: To Be Assigned Filed: Concurrently Herewith

For: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR

ADMINISTRATION OF COMPUTER SECURITY THREAT

COUNTERMEASURES TO A COMPUTER SYSTEM

July 22, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# ASSOCIATE POWER OF ATTORNEY (37 C.F.R. § 1.34)

Please recognize as Associate Attorneys in this case:

David L. Adour, Registration No. 29,604; John R. Pivnichny, Registration No. 43,001; Arthur J. Samodovitz, Registration No. 31,297; William E. Schiesser, Registration No. 53,559; and William H. Steinberg, Registration No. 28,540.

Respectfully submifted

Mitchell S. Bigel

Registration No. 29,614

## **Correspondence Address:**

20792

PATENT TRADEMARK OFFICE

#### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 318421214 US

Date of Deposit: July 22, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Susan E. Freedman

Date of Signature: July 22, 2003